

Through BSE's online portal for Corporate Compliances & Listing Centre

Ref. No. AFSL/SECL/2026-27/019

May 11, 2026

The Manager
Listing Department
BSE Limited, P.J. Towers,
Dalal Street, Mumbai – 400 001

Dear Sir/Madam,

Sub: Secretarial Compliance Report of Avanse Financial Services Limited for the Financial Year ended March 31, 2026 (“Secretarial Compliance Report”)

Please find attached herewith the Secretarial Compliance Report in the format prescribed under the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with the relevant SEBI circular. The said report shall also be hosted on the Company's website at www.avanse.com.

This is for your information and records.

Thanking You.

Yours truly,
For Avanse Financial Services Limited

Rajesh Gandhi
Company Secretary and Compliance Officer
ICSI Membership No. A-19086

Encl: As above

Cc: Catalyst Trusteeship Limited through e-mail at ComplianceCTL-Mumbai@ctltrustee.com



SACHIN MANSETA & ASSOCIATES
Company Secretaries

SECRETARIAL COMPLIANCE REPORT OF AVANSE FINANCIAL SERVICES LIMITED
FOR THE FINANCIAL YEAR ENDED MARCH 31, 2026

To,
The Board of Directors,
Avanse Financial Services Limited
4th Floor, Times Square Andheri - Kurla Road,
Gamdevi, Marol, Andheri East, Mumbai – 400059

I have examined:

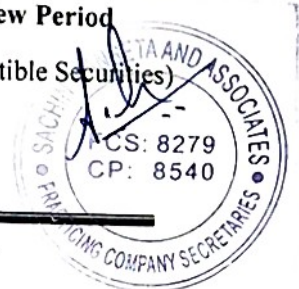
- (a) all the documents and records made available to me and explanation provided by **Avanse Financial Services Limited** (“the listed entity”);
- (b) the filings/ submissions made by the listed entity to the stock exchanges;
- (c) website of the listed entity;
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification.

For the year ended March 31, 2026 (“Review Period”) in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include: -

- (a) the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) the Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; **Not applicable to the listed entity during the Review Period**
- (d) the Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **Not applicable to the listed entity during the Review Period**
- (e) the Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021; **Not applicable to the listed entity during the Review Period**
- (f) the Securities and Exchange Board of India (Issue and Listing of Non- Convertible Securities) Regulations, 2021;



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(g) the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

(h) the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

(i) the Securities and Exchange Board of India (Debenture Trustee) Regulations, 1993 (in relation to obligations of Issuer Company) to the extent applicable;

(j) the Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 and Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 2025 regarding the Companies Act and dealing with client;

Based on the above examination, I hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.
- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- c) The details of action taken against the listed entity / its promoters / directors / material subsidiaries either by SEBI or by stock exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts / Regulations and circulars / guidelines issued thereunder: **Not applicable during the review period**
- d) The listed entity has taken the following actions to comply with the observations made in previous reports: **Not applicable during the Review period**

For Sachin Manseta & Associates



Sachin Manseta
Proprietor
Membership No. - 8279

UDIN : F008279H000237585
Date: 29/04/2026
Place : Mumbai